

MONEX GROUP

Announcement of Subsidiary's Release

TOKYO, September 16, 2021 – Monex, Inc., wholly owned subsidiaries of Monex Group, Inc., issued the following press release today.

Attachment: Monex, Inc. press release

Monex begins offering over-the-counter US stock trading services,
a first for a major online brokerage in Japan

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TOKYO, September 16, 2021 – Monex, Inc. (headquarters; Minato-ku, Tokyo; Representative Director and President: Yuko Seimei; hereinafter, Monex) is pleased to announce that in a first for a major online brokerage company,* it will begin offering over-the-counter US stock trading services starting September 21, 2021 (scheduled).

■ Background

Monex has been working with its US-based group company TradeStation Group, Inc. (headquarters; Florida, US; President: John Bartleman) to provide US stock trading services that set it apart from other online brokerages. In July 2019, Monex was the first in the industry to lower its minimum commission rates and through such efforts as the ongoing expansion of the number of stocks handled, continues to enhance its services to improve the investment environment for its customers. Now with the start of over-the-counter US stock trading services, customers will be able to trade US shares during the day regardless of time differences.

■ Overview

With over-the-counter trading services, unlike consignment transactions where a local brokerage company acts as an intermediary for orders, Monex will serve as the transaction party of the customers to execute the transactions, as below.

- (1) US stocks can be traded during the day in Japan
Trading time is scheduled to be 12:00~17:00 Japan time, in principle. Hence, customers can begin trading without waiting for the market opening time in the US (evening in Japan).
- (2) Orders are executed immediately
If an order is placed at the price displayed by Monex, the order will be executed immediately, in principle. This enables investors to more easily consider their next investment strategy.
- (3) Access to major stock issues
Customers can access to major stock issues such as the 30 companies that comprise the New York Dow Jones Industrial Stock Index, ETFs and other.
- (4) Service Offering on the Internet
Over-the-counter trading service is usually available on the phone or at physical store counters of each brokerage company in Japan. Monex, however, offers this service on the Internet similar to the other service offerings provided by Monex.

* According to September 16, 2021, survey by Monex. Major online online brokerage companies are defined as au Kabucom Securities, SBI Securities, Matsui Securities, Rakuten Securities and Monex, Inc.