

# MONEX GROUP

## Announcement of Subsidiary's Release

TOKYO, October 14, 2021 – Monex, Inc., a wholly owned subsidiary of Monex Group, Inc., issued the following press release today.

Attachment: Monex, Inc. press release

Monex, Inc. to Officially Launch Wealth Management Services

---

Contact: Akiko Kato  
Corporate Communications Office  
Monex Group, Inc.  
+81-3-4323-8698

Yuki Nakano, Minaka Aihara  
Investor Relations, Financial Control Dept.  
Monex Group, Inc.  
+81-3-4323-8698

This material was made as a matter of record only and does not constitute an offer to sell or to solicit an offer to buy securities in the U.S.

## Monex, Inc. to Officially Launch Wealth Management Services

TOKYO, October 14, 2021 – Monex, Inc. (headquarters; Minato-ku, Tokyo; Representative Director and President: Yuko Seimei; hereinafter, Monex) is pleased to announce that it will officially launch wealth management services on Monday, October 18, 2021(tentative), under the newly established Private Wealth Department.

### ■ Background

Monex has been offering in-person consultation to its customers through independent financial advisors (“IFA”). In this way, Monex’s extensive product line-up as well as capabilities of product structuring and securities operations are made available to customers not only via online transactions but also through in-person marketing. This also caters to a wide range of requests from customers who require consulting services, and assets under management accumulated through IFAs have been steadily growing. With these achievements as a base, Monex decided to officially launch wealth management services to expand its capabilities in this area. The enhanced in-person marketing is especially designed for high-net-worth individuals, while the goal of the services is to focus on protecting the value of their assets.

### ■ Overview

The Private Wealth Department, established on Friday, October 1, 2021, plans to welcome the head of the department, who is coming from outside of the company and officially start our wealth management services on Monday, October 18, 2021.

Monex recognizes the need for stable and robust services in this area, as the wealth management in Japan, often primarily offered by foreign financial institutions, has a history of restructuring and reorganization among players. Monex is committed to its goal of offering the wealth management services that protect the value of customers’ assets and of becoming a customer-centric asset/wealth manager.