

March 1, 2022

Press Release

Daiwa Securities Group Inc.

### **Appointment of Directors and Executive Officers**

Attention

This document is not a full translation of a press release announced on March 1, 2022 by Daiwa Securities Group Inc. The original press release is in Japanese.

Daiwa Securities Group Inc. hereby announces the change of Directors and Executive Officers as follows.

[ Daiwa Securities Group Inc. ]

1. Executive Officers (Corporate Executive Officers) and Executive Officers

1) New Appointment (As of April 1, 2022)

Deputy President (Corporate Executive Officer) / Member of the Board

Akihiko Ogino

(Present: Senior Executive Managing Director  
(Corporate Executive Officer) / Member of the  
Board)

Deputy President (Corporate Executive Officer)

Shinsuke Niizuma

(Present: Senior Executive Managing Director  
(Corporate Executive Officer))

Senior Executive Managing Director (Corporate Executive Officer)

Junichi Arihara

(Present: Executive Managing Director (Corporate  
Executive Officer))

Senior Executive Managing Director (Corporate Executive Officer)

Tomoyuki Murase

(Present: Executive Managing Director (Corporate  
Executive Officer))

Executive Managing Director (Corporate Executive Officer)

Shiko Yanagisawa

(Present: Executive Managing Director)

Deputy President	Masahiro Kobayashi (Present: Senior Executive Managing Director / Member of the Board of Daiwa Securities Co. Ltd.)
Deputy President	Hironori Oka (Present: Senior Executive Managing Director)
Executive Managing Director	Naoki Suzuki (Present: Senior Managing Director)
Executive Managing Director	Takashi Yamada (Present: Senior Managing Director)
Senior Managing Director	Kosuke Mizuno (Present: Appointive Managing Director to Head of Equity and Head of Research (seconded to Daiwa Capital Markets Hong Kong Limited)
Senior Managing Director	Keiji Machida (Present: President and COO of Daiwa Capital Markets America Holdings Inc.)
Senior Managing Director	Toyo Abe (Present: Head of Corporate Planning Dept.)
Senior Managing Director	Hiroaki Kato (Present: President and CEO of Daiwa Securities Capital Markets Korea Co. Ltd.)

## 2) Retirement (As of March 31, 2022)

Deputy President (Corporate Executive Officer)	Kazuo Takahashi (To be appointed as Adviser of Daiwa Securities Co. Ltd.)
Deputy President (Corporate Executive Officer)	Koichi Matsushita (To be appointed as Adviser of Daiwa Asset Management Co. Ltd.)
Executive Managing Director (Corporate Executive Officer)	Yoshifumi Otsuka (To be appointed as Senior Executive Managing Director / Member of the Board of Daiwa Institute of Research Ltd.)

Senior Managing Director Yukiko Takeuchi  
(To be appointed as Deputy President / Member of  
the Board of Daiwa Real Estate Asset  
Management Co. Ltd.)

Senior Managing Director Toshisuke Tanaka  
(To be appointed as Executive Managing Director  
of Daiwa Institute of Research Ltd.)

[ Daiwa Securities Co. Ltd. ]

1. Directors / Members of the Board and Executive Officers

1) New Appointment (As of April 1, 2022)

Deputy President / Member of the Board

Masahiro Kobayashi  
(Present: Senior Executive Managing Director /  
Member of the Board)

Deputy President / Member of the Board

Shinsuke Niizuma  
(Present: Senior Executive Managing Director /  
Member of the Board)

Deputy President / Member of the Board

Akihiko Ogino  
(Present: Senior Executive Managing Director /  
Member of the Board)

Senior Executive Managing Director / Member of the Board

Junichi Arihara  
(Present: Executive Managing Director / Member  
of the Board)

Senior Executive Managing Director / Member of the Board

Tomoo Fujioka  
(Present: Executive Managing Director / Member  
of the Board)

Senior Executive Managing Director / Member of the Board

Tomoyuki Murase  
(Present: Executive Managing Director / Member  
of the Board)

Senior Executive Managing Director / Member of the Board

Isao Tsujioka

(Present: Executive Managing Director / Member  
of the Board)

Executive Managing Director / Member of the Board

Hiroataka Shirokawa

(Present: Executive Managing Director)

Executive Managing Director / Member of the Board

Kei Sano

(Present: Executive Managing Director)

Executive Managing Director / Member of the Board

Tomonori Tsuji

(Present: Executive Managing Director)

Executive Managing Director / Member of the Board

Eiji Sato

(Present: Executive Managing Director)

Executive Managing Director / Member of the Board

Toru Yamamoto

(Present: Executive Managing Director)

Executive Managing Director / Member of the Board

Junichi Serizawa

(Present: Executive Managing Director)

Executive Managing Director / Member of the Board

Tsutomu Kobayashi

(Present: Executive Managing Director)

Executive Managing Director

Tsutomu Takahashi

(Present: Senior Managing Director)

Executive Managing Director

Takaaki Narieda

(Present: Senior Managing Director)

Executive Managing Director

Tomohide Wakayama

(Present: Senior Managing Director)

Executive Managing Director

Takashi Yamada

(Present: Senior Managing Director)

Executive Managing Director

Isao Niwa

(Present: Senior Managing Director)

Executive Managing Director

Yusuke Morimoto

(Present: Senior Managing Director)

Senior Managing Director	Takashi Fujikura (Present: Senior Managing Director)
Senior Managing Director	Takashi Kanno (Present: Senior Managing Director)
Senior Managing Director	Yohei Sugama (Present: Senior Managing Director)
Senior Managing Director	Maki Miyazaki (Present: Head of Tokyo Contact Center Dept.)
Senior Managing Director	Shinichi Seto (Present: Head of Financial Institutions Dept.)
Senior Managing Director	Shintarou Torii (Present: Head of Omiya Branch)
Senior Managing Director	Toyo Abe (Present: Head of Corporate Planning Dept.)
Senior Managing Director	Tomokazu Furuhashi (Present: Head of Retail Marketing Strategy Dept.)
Senior Managing Director	Naoki Yoshida (Present: Head of Corporate Clients Banking Dept. ( I ) )
Senior Managing Director	Akira Furukawa (Present: Head of Yokohama Branch)
Senior Managing Director	Takahiro Nakagawa (Present: Head of Fukuoka Branch)
Senior Managing Director	Takehiko Tsushimi (Present: Head of Hiroshima Branch)
Senior Managing Director	Takehiko Kobayashi (Present: Head of Osaka Corporate Clients Banking Dept. ( I ) )
Senior Managing Director	Hiroyuki Isokawa (Present: Head of Corporate Clients Marketing Dept. ( I ) )
Senior Managing Director	Shigeo Yashiro (Present: Head of Sapporo Branch)

2) Retirement (As of March 31, 2022)

Deputy President / Member of the Board

Kazuo Takahashi

(To be appointed as Adviser)

Deputy President / Member of the Board

Mikita Komatsu

(To be appointed as Deputy President of Daiwa Securities Group Inc., President / Member of the Board of Daiwa Asset Management Co. Ltd.)

Senior Executive Managing Director / Member of the Board

Yoshinori Matsumoto

(To be appointed as Deputy President / Member of the Board of Daiwa Institute of Research Ltd.)

Senior Executive Managing Director / Member of the Board

Yasushi Iwasaki

(To be appointed as Deputy President / Member of the Board of Daiwa Asset Management Co. Ltd.)

Senior Executive Managing Director / Member of the Board

Hiroshi Hara

(To be appointed as Chairman / Member of the Board of CONNECT Co.Ltd.)

Senior Executive Managing Director / Member of the Board

Yoshihisa Kaneko

(To be appointed as President / Member of the Board of Daiwa Fund Consulting Co. Ltd.)

Executive Managing Director / Member of the Board

Yoshifumi Otsuka

(To be appointed as Senior Executive Managing Director / Member of the Board of Daiwa Institute of Research Ltd.)

Executive Managing Director / Member of the Board

Sungho Kang

(To be appointed as Adviser of Daiwa Asset Management Co. Ltd., President / Member of the Board of Global X Japan Co. Ltd.)

Executive Managing Director	Futoshi Sekine (To be appointed as Senior Executive Managing Director / Member of the Board of Daiwa Asset Management Co. Ltd.)
Senior Managing Director	Yoshiaki Tanaka (To be appointed as Chairman / Member of the Board of IP Bridge, Inc.)
Senior Managing Director	Yukiko Takeuchi (To be appointed as Deputy President / Member of the Board of Daiwa Real Estate Asset Management Co. Ltd.)
Senior Managing Director	Toshisuke Tanaka (To be appointed as Executive Managing Director of Daiwa Institute of Research Ltd.)
Senior Managing Director	Kenichi Ueno (To be appointed as Executive Managing Director of Daiwa Asset Management Co. Ltd.)
Senior Managing Director	Katsumi Maekawa (To be appointed as Executive Managing Director / Member of the Board of Daiwa Next Bank, Ltd.)

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