

# MONEX GROUP

## Announcement of Subsidiary's Release

TOKYO, April 27, 2022 – Monex, Inc., a wholly owned subsidiary of Monex Group, Inc., issued the following press release today.

Attachment: Monex, Inc. press release

Mutual Fund Assets Under Management Exceeded One Trillion Yen

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## Mutual Fund Assets Under Management Exceeded One Trillion Yen

TOKYO, April 27, 2022 – Monex, Inc. (headquarters; Minato-ku, Tokyo; Representative Director and President: Yuko Seimei; hereinafter, Monex) announced that mutual fund assets under management\*1 exceeded one trillion yen.

### ■ Background

Since its founding in 1999, Monex has offered mutual fund products and services with the goal of helping individual investors consistently grow their assets. Most of the mutual fund products may be invested in 100 yen increments. To enhance services, Monex made all mutual funds no-load, waving purchasing commissions in December 2019, and started daily investment services in January 2020. Since partnering with Shinsei Bank, Limited in 2021, Monex has introduced wide range of mutual funds including foreign mutual funds to their customers, helping the bank offer expanded financial services.

From February of this year, customers can make periodic investments in mutual funds using Monex Cards. The new service returns 1.1% of the amount spent through cards as points. The ratio of returning points to customer is the highest among similar schemes\*2 of all major online brokers.

Achieving more than one trillion-yen of assets under management is a culmination of all these efforts to date, supported by customers who greatly appreciate these unique services.

\*1: Mutual fund assets under management is the balance held at Monex for Japanese mutual funds (excluding money reserve funds), foreign mutual fund products (excluding money market funds), Individual Defined Contribution Pension (“iDeCo”), and ON COMPASS.

\*2: As of March 28, 2022. Confirmed by Monex. Major online brokers include: au Kabucom Securities Co., Ltd., SBI SECURITIES Co., Ltd., Matsui Securities Co., Ltd., Rakuten Securities, Inc., and Monex, Inc. (Comparison among standard credit cards, excluding gold and platinum cards. Matsui Securities does not offer periodic investment services for mutual fund products.)

### ■ Mutual Fund Products and Services

Monex offers uniquely positioned mutual fund products and services as detailed below.

#### 1. Periodic Investments in Mutual Funds through Monex Cards

This service allows customers to make periodic investments in mutual funds using Monex Cards. Monex Cards usually returns 1.0% of amount spent on shopping through cards as points which can be used to pay equity trading commissions at Monex or to exchange with points of affiliate companies. When the cards are used for periodic investments in mutual funds, the ratio of points returned is raised to 1.1%.

#### 2. Periodic Investments in Mutual Funds (Daily or Monthly)

This service helps customers automatically invest a fixed amount in mutual funds every

day or on a set date of every month. Investments can be made in units of 100 yen or 1,000 yen and the services can be applied to Nippon Individual Savings Accounts (NISA) or its periodic investment plan.

### 3. Monex Activist Fund

This mutual fund is designed to facilitate transformation of Japanese companies and to stimulate Japanese capital markets, as Oki Matsumoto, Chairman of Monex, is committed to leading engagements with stakeholders. The fund is managed by Monex Asset Management, Inc. with investment advisory offered by Japan Catalyst, Inc., one of the Group companies.

### 4. Monex Points

Monex's unique point program allows customers to receive points depending on their balance of mutual funds they hold. Points can be used to exchange with cryptocurrencies through Coincheck, Inc., a Group company, or converted to similar point programs offered by affiliate companies to enjoy shopping, dining and leisure.

### 5. Individual Defined Contribution Pension (iDeCo)

In this public pension scheme, the amount of pension received in the future will be determined by the performance of products selected directly by the investors who make contributions. iDeCo services offered by Monex do not charge any operational management fees while offering a variety of low-cost products, staff dedicated to handle iDeCo related inquiries, and a robo-advisor catering to iDeCo services. Monex has been ranked at the top of Oricon Customer Satisfaction Ranking for iDeCo Provider Brokers for three consecutive years, as announced by oricon ME Inc.

### 6. ON COMPASS

ON COMPASS is a discretionary asset management service using a robo-advisor, with a thorough focus on risk management. This service is offered by Monex's group company, Monex Asset Management. 99.7% of ON COMPASS customers have made positive total returns (annualized) as of the end of March 2022.