

MONEX GROUP

Announcement of Subsidiary's Release

TOKYO, July 28, 2022 – Monex Asset Management, Inc., a wholly owned subsidiary of Monex Group, Inc., issued the following press release today.

Attachment: Monex Asset Management, Inc. press release

Monex Securities to begin Offering ON COMPASS+, a Face-to-Face Discretionary Management Service, managed by Monex Asset Management

Contact: Akiko Kato
Corporate Communications Office
Monex Group, Inc.
+81-3-4323-8698

Yuki Nakano, Minaka Aihara
Investor Relations, Financial Control Dept.
Monex Group, Inc.
+81-3-4323-8698

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Monex Securities to begin Offering ON COMPASS+, a Face-to-Face Discretionary Management Service, managed by Monex Asset Management

TOKYO, July 28, 2022 – Monex Asset Management, Inc. (headquarters: Minato-ku, Tokyo; CEO: Katsuki Mandai, hereinafter, Monex Asset Management) and Monex, Inc. (head quarters: Minato-ku, Tokyo; Representative Director and President: Yuko Seimei, hereinafter, Monex Securities) is pleased to announce that Monex Securities begins offering ON COMPASS+, a face-to-face discretionary investment management service on July 28, 2022.

ON COMPASS+ is a service that incorporates the advantages of digital and in-person services to provide asset management service tailored to the needs of each individual customer. Ten independent financial advisor companies (hereinafter, IFAs) that are contracted with Monex Securities will provide the face-to-face service guidance and detailed support during investment management.

Background

Monex Asset Management offers “ON COMPASS,” an online investment management service, and “ON COMPASS+,” a discretionary investment management service that incorporates digital and face-to-face support.

Monex Securities has been offering ON COMPASS since September 2016, but has long been requested to offer high-quality wrap products based on a goal-based approach in a face-to-face setting. Further, in response to requests from IFAs, Monex Asset Management and Monex Securities have decided to offer the face-to-face “ON COMPASS+” product through Monex's IFA service. As a result, the IFAs under contracts with Monex Securities can offer Japanese stocks, U.S. stocks, mutual funds, bonds, and “ON COMPASS+.”

Key Features of ON COMPASS+

1. Personalized Asset Management

The service proposes the asset management plan that best fit individual customers in line with their purpose and preferences on how they manage their assets. The service caters to diverse range of customer demographics, whether it be the generation focused on building assets or the retiree generation, as it allows for diverse styles of asset planning in accordance with customer's life-planning.

2. Full Support

Asset management plan consulting is conducted by advisors of IFAs contracted by Monex, utilizing consulting tools provided by Monex Asset Management, and responds to customers' concerns and worries in a face-to-face manner. The advisors provide follow-up services to support customers' asset management even after the start of investment.

3. Assets Managed by Professionals

Once the customer's plans are established, Monex Asset Management takes care of the actual management of the funds. There is no need for customers to be involved in trades or daily maintenance. Customers' funds are invested in domestic and foreign exchange traded funds (ETFs) through the designated mutual funds. This allows diversified investments in 120 countries around the world and in approximately 62,000 stocks, mitigating risks while stabilizing performance.

4. Digital Usability

Customers can expect a high level of convenience and a low level of fees thanks to the power of digital capabilities employed in the service's administration process and communications offered through the customer's "My Page."

5. Simple Fee

The fee is approximately 1.6575% (annualized and tax inclusive) of the balance of assets under custody. The fee includes approximately 0.2% (approximate) of the expenses of the ETFs in which the final investment is made. No fees are charged for application, cancellation, or change.