

MONEX GROUP

Announcement of Changes in Management, Personnel and Organization

TOKYO, March 22, 2023 - Monex Group, Inc. (“the Company”) hereby announces changes in management, personnel and organization of its group companies named below in order to strengthen management structure.

Monex Group, Inc.
Monex, Inc.
Japan Catalyst, Inc.

I. Monex Group, Inc.

1. Organizational Changes (Effective April 1, 2023)

- Newly established Legal Department and Finance Department.

2. Officers, Executive Officers, and Executive Directors Changes

Effective April 1, 2023 (scheduled)

Name	New Position	Current Position
Yuko Seimei ^(*1)	Representative Executive Officer, Co-CEO	Representative Executive Officer, Co-CEO and CFO
Takashi Oyagi ^(*2)	Executive Officer, CFO	Executive Officer
Hiroshi Yamaguchi	Executive Director, General Manager, Strategic Planning Office	Executive Director, Assistant to CFO
Masayuki Okamoto	Executive Director, General Counsel	-

Co-CEO: Co-Chief Executive Officer

CFO: Chief Financial Officer

(*1) Yuko Seimei will continue to concurrently serve as Representative Director and President of Monex, Inc. She is scheduled to be appointed Representative Executive Officer and President, and Chief Executive Officer of the Company at the Board of Directors meeting held after the General Meeting of Shareholders in June, 2023.

(*2) Takashi Oyagi will continue to concurrently serve as Member of the Board of Directors and Chief Strategic Officer, TradeStation Group, Inc.

【Reasons for the appointment of Masayuki Okamoto as Executive Director】

Masayuki Okamoto has over 30 years of experience as an attorney, coordinating and advising on Japanese laws and regulations for various cross-border financial transactions and foreign corporations, and has served as our General Counsel from August 2019 to December 2021.

With the increasing number of cross-border capital policies and business alliances, there is an urgent need for a holding company with financial subsidiaries like ours to strengthen its global legal function, and we are pleased to appoint him as our Executive Director (General Counsel).

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Responsibilities of Executive Officers (As of April 1, 2023) (scheduled)

Name	Title	Responsibilities	Other important positions in the group
Okii Matsumoto	Representative Executive Officer & CEO	Chief Executive Officer - Overall Management - Crypto Asset Business - Asset Management Business - New Businesses	- Chairman of the Board of Directors, TradeStation Group, Inc. - Chairman, Managing Director & Executive Director Coincheck, Inc. - Chairman & Managing Director, Japan Catalyst, Inc.
Yuko Seimei	Representative Executive Officer	Co-Chief Executive Officer - Strategic Planning - Financial Controls - Global Securities Business	- Representative Director & President, Monex, Inc. - Member of the Board of Directors, TradeStation Group, Inc.
Kiminori Kaneko	Executive Officer	- Information Security - Risk Management	- Member of the Board, Monex, Inc.
Takashi Oyagi	Executive Officer	Chief Financial Officer - US Business	- Chief Strategic Officer, and Member of the Board of Directors, TradeStation Group, Inc.
John Bartleman	Executive Officer	- US Business	- President and Chief Executive Officer, and Member of the Board of Directors, TradeStation Group, Inc.
Shoji Kuwashima	Executive Officer	Chairman - Internal Controls - Crisis Management - Investment Business - Corporate Communications	- Member of the Board, Monex, Inc.
Katsuki Mandai	Executive Officer	- Asia-Pacific Business - Asset Management Business - Wealth Management	- Representative Director & President, Monex Asset Management, Inc. - Managing Director, Japan Catalyst, Inc.
Takuya Yamanaka	Executive Officer	- Human Resources	- Senior Executive Director, Monex, Inc.
Naofumi Yamada	Executive Officer	- Technology - Crypto Asset Business - AI Strategy	- Executive Director, Coincheck, Inc.

3. Personnel Changes

Effective April 1, 2023 (scheduled)

Name	New Position	Current Position
Kimiharu Shidara	General Manager, Legal Department	-
Tomokazu Furukawa	General Manager, Finance Department	-

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II. Monex, Inc.

1. Organizational Changes (Effective April 1, 2023 (scheduled))

- Renamed Private Wealth Department to Wealth Management Department for the purpose of promoting the wealth management business in earnest.

2. Personnel Changes

Effective April 1, 2023 (scheduled)

Name	New Position	Current Position
Takashi Yamauchi	General Manager, Wealth Management Department	General Manager, Private Wealth Department

III. Japan Catalyst, Inc.

Director Change

Effective April 1, 2023 (scheduled)

Name	New Position	Current Position
Katsuki Mandai ^(*3)	Managing Director	-

^(*3) Katsuki Mandai will continue to concurrently serve as Representative Director & President, Monex Asset Management, Inc.

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