

# *Press Release*



## **TOKAI TOKYO FINANCIAL HOLDINGS, INC.**

5-1, NIHONBASHI 2-CHOME, CHUO-KU, TOKYO 103-6130 JAPAN

*Note: This English translation is for reference purposes only. In the event of any discrepancy between the Japanese original and this English translation, the Japanese original shall prevail.  
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(8616; PRIME Market, PREMIER Market)

March 22, 2023

### **Re: Tokai Tokyo Securities reshuffles its representative directors**

We, Tokai Tokyo Financial Holdings, Inc., inform you about the reshuffle of the directors of Tokai Tokyo Securities Co., Ltd., our wholly-owned subsidiary.

The following space is intentionally left blank.

Contact: +81-(0)3-3517-8618, Corporate Communications, Tokai Tokyo Financial Holdings, Inc.

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March 22, 2023

## **Re: The reshuffle of our representative directors**

We advise you below that we decided on the reshuffle of our representative directors (the Reshuffle hereafter) at our Board of Directors Meeting held today.

1. Reason for the Reshuffle

We, Tokai Tokyo Securities Co., Ltd., will make the Reshuffle which is a sequential step after the election of our directors.

2. Description of the Reshuffle

The positions subject to the Reshuffle	The current positions of officers subject to the Reshuffle	Name
Representative Director and Chairman	Representative Director and President	Masataka Sato
Representative Director and President	Deputy President, assuming the responsibility of the Head of the Global Market Company	Naoko Kitagawa
After resignation, effective March 31, 2023, the subject director starts assuming the responsibility of the Assistant to the Chairman of the Tokai Tokyo Financial Holdings, Inc., effective April 1, 2023,	Representative Director and Deputy President, assuming the responsibility of the Head of both the Sales Supervisory Unit and the Wealth Management Company	Mikio Fujii

### 3. Career Record of New Representative Director

Name (Date of Birth)	Career records, and positions that include those dually assumed	
Naoko Kitagawa (March 9, 1968)	April 1990	Joined Maruman Securities Co., Ltd.
	September 2005	Komaki Branch Manager, Tokai Tokyo Securities Co., Ltd.
	April 2008	Toyota Branch Manager
	May 2011	General Manager, Sales Department II, Nagoya Branch
	April 2013	Executive Officer, Deputy General Manager, Wealth Management Company
	April 2014	Executive Officer, Wealth Management Company
	April 2015	Managing Executive Officer, Wealth Management Company
	April 2017	Tokai Tokyo Financial Holdings, Inc., Senior Managing Executive Officer, assuming the special assignment
	May 2017	Takagi Securities Co., Ltd., Deputy President and Executive Officer in charge of planning
	June 2017	Representative Director and Deputy President, Head of Planning & Administration Unit
September 2019	Tokai Tokyo Financial Holdings, Inc., Senior Managing Executive Officer in charge of General Planning Group	
April 2021	Tokai Tokyo Securities Co., Ltd., Deputy Head of Global Market Company	
April 2022	Deputy President and the Head of Global Market Company (Present Position)	

※ With reference to the career record description in the above table, “Tokai Tokyo Securities Co., Ltd.” denotes the present “Tokai Tokyo Financial Holdings, Inc.” until the end of March 2009, whereas the same corporate name from the beginning of April 2009 means “Tokai Tokyo Securities Co., Ltd.” as it is now.

### 4. Scheduled Date of Inauguration

April 1, 2023

Our decision on the Reshuffle will become officially effective subject to the approval both at an Extraordinary Shareholders Meeting scheduled to be held sometime in late March this year and at an Extraordinary Directors Meeting that immediately follows the former on the same day.

(end)

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