

# Press Release



**TOKAI TOKYO FINANCIAL HOLDINGS, INC.**

5-1, NIHONBASHI 2-CHOME, CHUO-KU, TOKYO 103-6130 JAPAN

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(8616; PRIME Market, PREMIER Market)

May 22, 2023

## **Re: Proposal by Tokai Tokyo Securities Regarding Change of Their Directors**

Tokai Tokyo Securities Co., Ltd., our subsidiary, announces that their Meeting of the Board of Directors decided today to submit the proposal to their 15<sup>th</sup> Ordinary Shareholders' Meeting, requesting official approval therefrom. The proposal addresses the below-described change of their Directors, and the proposed change will become effective when it is approved by the said Ordinary Shareholders' Meeting, scheduled for June 28, 2023.

### 1. Change of Directors (effective June 28, 2023)

Directors not to serve on Audit and Supervisory Committee

#### ① Reappointment of Directors

Masataka Sato	: currently Chairman and Representative Director
Naoko Kitagawa	: currently President and Representative Director
Tateaki Ishida	: currently Director and Chairman and Representative Director of Tokai Tokyo Financial Holdings, Inc. concurrently
Ichiro Goda	: currently Director and President and Representative Director of Tokai Tokyo Financial Holdings, Inc., concurrently
Toshio Ando (outside)	: currently Outside Director
Kazuhiro Matsubara(outside)	: currently Outside Director

### 2. Audit and Supervisory Committee Directors

#### ① Appointment of New Director

Shigeru Omori : currently Director Certified Public Accountant Office of Shigeru Omori

#### ② Resignation of Director

Masahiro Shimojo (outside) : currently Outside Director

Mr. Shimojo will resign before the end of his tenure which would have lasted until June 2024. Mr. Omori will take over the position from Mr. Masahiro Shimojo, who is currently a Director serving on the Audit and Supervisory Committee.

Directors serving on the Audit and Supervisory Committee, including Hideto Sasaki, Rintaro Tamaki, and Makiko Yoshida, remain on their tenures which continue from June 2022 until June 2024.

(Reference)

1 . Career Summary of New Director

Shigeru Omori

Born on May 26, 1959	March 1983	Joined Tohmatsu Awoki & Co. (now Deloitte Touche Tohmatsu LLC)
	August 1987	Registered as a certified public accountant (up to present)
	June 1996	Partner of Tohmatsu & Co. (now Deloitte Touche Tohmatsu LLC )
	October 2008	Leader, Financial Services Industry risk advisory services
	October 2013	Leader, Financial Services Industry audit and assurance
	June 2017	Managing Partner, Human Resources (Resigned in September 2018)
	September 2021	Retired from Deloitte Touche Tohmatsu LLC
	October 2021	Director Certified Public Accountant Office of Shigeru Omori (current position )

(end)

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